This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 18 July 2002 (18.07.2002)

PCT

(10) International Publication Number WO 02/055055 A2

(51) International Patent Classification7: C11D 3/00, A01N 31/00

A61K 7/50.

(21) International Application Number: PCT/US01/48167

(22) International Filing Date: 30 October 2001 (30.10.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 09/710,804

9 November 2000 (09.11.2000) US

- (71) Applicant: STERIS INC. [US/US]; 43425 Business Park Drive, Temecula, CA 92590 (US).
- (72) Inventors: KAISER, Nancy, E.; 115 Wilson Court, Pontoon Beach, IL 62040 (US). PRETZER, Denise, K.;

203 Parkhurst, Webster Groves, MO 63119 (US). TIBBS. Kevin, A.; 3818 Cleveland Avenue, St. Louis, MO 63110 (US).

- (74) Agent: KOCOVSKY, Thomas, E., Jr.; 1100 Superior Avenue, Seventh Floor, Cleveland, OH 44114 (US).
- (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: FAST-ACTING ANTIMICROBIAL LOTION WITH ENHANCED EFFICACY

(57) Abstract: An antimicrobial lotion for topical use comprises an oil-in-water emulsion with a dispersion of emollient droplets in an oil phase and an antimicrobial agent in a water phase. The emollients moisturize the skin. Antimicrobial agents have a more rapid antimicrobial effect in an aqueous solution than in the oil phase. A combination of anionic and nonionic surfactants stabilize the emulsion and maintain a cationic antimicrobial agent primarily in the water phase. The resulting lotion is gentle on the skin while providing more rapid antimicrobial effect than conventional lotions. With longer lasting antimicrobial agents, such as chlorhexidene, the lotion is rubbed into the skin and left on to continue moisturizing and killing microbes for up to 12 hours.

FAST-ACTING ANTIMICROBIAL LOTION WITH ENHANCED EFFICACY

Background of Invention

The present invention relates to the antimicrobial arts. It finds particular application in conjunction with the reduction of microorganisms on and moisturization of the skin of health care personnel and will be described with particular reference thereto. It should be appreciated, however, that the invention is also applicable outside the medical area, such as a skin conditioning lotion for workers in the food preparation industry, in home health care, or in other areas where skin disinfection and moisturization is desired.

The chemical control of bacteria and viruses is assuming increasing importance in the hospital and medical A wide variety of topical compositions for treatment of the skin are available, including moisturizers, 15 anti-acne compositions, sunscreens, topical anesthetics, artificial tanning compositions, skin lightening compositions, anti-wrinkle compositions, and the like, often in the form of lotions. The most common lotions use anionic, or negatively charged, emulsifiers to stabilize the 20 composition. These lotions have no antimicrobial activity and are used for moisturization only. Nonionic emulsifierbased lotions can also be made, however these tend to be low viscosity fluids.

Lotions are also available which are compatible 25 with an antimicrobial residue left behind on the skin after washing or rinsing with an antimicrobial-containing product. However, these antimicrobial wash products are not themselves moisturizing and are therefore used prior to

separate moisturizing product. The antimicrobial wash product is not left on the skin but is washed off prior to the application of the moisturizers. Such antimicrobial wash products would be harsh to the skin if utilized 5 repeatedly in leave-on applications.

Lotions have been developed which provide antimicrobial activity to destroy microorganisms, such as bacteria, on the surface of the skin, while also providing a moisturizing function. Several leave-on products have 10 been developed including those which contain Triclosan as the active ingredient. These tend to be relatively ineffective at reducing the antimicrobial population on the skin.

Alcohol-containing products, which include

15 chlorhexidine, are also known. Such compositions use both alcohol and chlorhexidine for quick and persistent activity. However, the alcohol tends to be drying to the skin. Those formulas which also contain emollients to counteract this drying effect tend have a reduced moisturizing effect on the drying effect tend have a reduced moisturizing effect on the drying lotions (stable oil-in-water emulsions) but function as a gel in which the antimicrobial agent is dispersed throughout the composition.

6 36 }

The present invention provides a new and improved 25 skin care composition and method of use which overcomes the above-referenced problems and others.

Summary of the Invention

In accordance with one aspect of the present 30 invention, an oil-in-water emulsion for antimicrobial skin treatment is provided. The composition includes a nonionic emulsifier, an anionic emulsifier, a cationic antimicrobial agent, a carrier oil, and water.

In accordance with another aspect of the present invention, a fast acting antimicrobial lotion is provided. The lotion includes, as a percent by weight, 0.25-8.0% of a nonionic emulsifier, 0.1-2.0% of an anionic emulsifier, 0.5-

-3-

10.0% of a thickener, 0-15.0 % of a humectant, 0.02-5.0% of a skin conditioner, 2.0-20.0% of an oil, 0.25-5% of a cationic antimicrobial agent, and water.

One advantage of the present invention is that the 5 skin is microbially decontaminated and moisturized in a single application.

Another advantage of the present invention is that the composition is fast-acting.

Yet another advantage of the present invention is 10 that it avoids the use of substantial quantities of alcohol in the composition, which tends to be drying to the skin.

Yet another advantage of the present invention is that it provides equivalent antimicrobial activity to conventional cationic antimicrobial containing wash products at substantially lower concentrations of the active ingredient.

Another advantage of the present invention is that it enables the viscosity of the composition to be increased to a level which retains the antimicrobial on the skin for 20 an extended period of time.

Yet another advantage of the present invention is that the composition need not be removed from the skin as it is moisturizing and nondrying.

Still further advantages of the present invention will become apparent to those of ordinary skill in the art upon reading and understanding the following detailed description of the preferred embodiments.

Detailed Description of the Preferred Embodiments

A skin care composition which provides antimicrobial activity while moisturizing the skin is provided. The composition may be used in place of hand washing, as an adjunct to hand washing, as a surgical scrub, or as a surgical preoperative skin preparation. The composition is preferably used as a leave-on composition, which is applied to the skin and left in place to provide both immediate and long term antimicrobial activity and

-4-

moisturizing functions. While use of the composition is described with reference to application particularly human skin, the composition may also be used for treatment of hair, scalp, and on animals.

By antimicrobial activity, it is meant that the composition reduces the number of viable microorganisms on skin, primarily by inactivating or killing the microorganisms, rather than by physically removing them.

The skin care composition comprises an oil-in-10 water emulsion which includes an antimicrobial agent, preferably a cationic antimicrobial agent. By oil-in-water emulsion, it is meant that the composition is formulated to have a discontinuous oil phase that is dispersed in a water. i.e., the aqueous phase. Specifically, a fine dispersion of 15 oil phase droplets is held in the surrounding water phase by suitable emulsifiers. In the present case, the formulation maintains the antimicrobial agent primarily in the external. phase (water) rather than in the internal phase (oil). A This is the allows the antimicrobial to be more readily available and the same than

20 increases the rate of kill of microorganisms. This is increase contrast to prior compositions, in which a large portion of the active is found in the internal phase, where it is unavailable for immediate activity on the skin.

ž. 3

The oil in water emulsion comprises an aqueous 25 phase at from about 40% to about 95% by weight of the total composition. Preferably, the compositions includes from about 60 to about 80% by weight of an aqueous phase, in which the oil phase is dispersed.

30 Emulsifier System

5

The composition includes a combination of at least one anionic emulsifier and at least one nonionic emulsifier, the nonionic emulsifier(s) preferably being at a higher concentration than the anionic emulsifier(s). It has been 35 found that a combination of anionic and nonionic emulsifiers provides better stability to the emulsion, simultaneously providing unexpectedly better antimicrobial

activity. While a single emulsifier may be used, the microbial activity and physical stability are generally significantly reduced.

The preferred emulsifiers are surfactants which 5 are non-foaming, and thus differ from the conventional highfoaming surfactants used in wash-off compositions. Additionally the concentration of the emulsifiers is less than that used in wash-off compositions, which typically use significantly greater than 5% total surfactants. 10 Specifically, the emulsifiers of the present composition are preferably used in a sufficient amount to just coat the surface area of all of the oil droplets. However, if too much emulsifier is used, it tends to move into the water phase, where it binds and micellizes the chlorhexidine 15 gluconate or other antimicrobial agent, reducing its antimicrobial activity. If too little emulsifier is used, the oil droplets are not fully coated and the chlorhexidine gluconate tends to attach to the surfaces of the oil droplets, also leading to reduced antimicrobial activity. 20 Consequently, the optimal amount of emulsifier used depends on the total amount of oil and type of oil used in the composition. The optimal amount can be determined by efficacy studies in which the concentration of emulsifier is varied and the antimicrobial efficacy is measured. 25 of efficacy against emulsifier concentration shows a peak at the optimal concentration. When cyclomethicone or similar simethicone is used as the oil at a concentration of about 5-12 weight percent, the total emulsifier content is preferably less than about 5 wt. %, more preferably between 30 1 and 5%, i.e., an oil to surfactant ratio of about 2.5:1 or higher.

> To avoid micellization of the antimicrobial agent, it is preferable to add the antimicrobial agent after forming the oil-in-water emulsion.

Nonionic Emulsifier

35

error total

in a second of

It has been found that by using an appropriate

-6-

amount of a nonionic emulsifier, the cationic antimicrobial agent can be maintained primarily in the water phase. This makes the antimicrobial more available, i.e., more effective at decontaminating the skin surface in a reduced time frame.

The nonionic emulsifier is preferably present in the composition at a concentration of from 0.25%-8% by weight, more preferably, from about 1% to about 5% by weight.

Exemplary nonionic emulsifiers polyoxyethylene alcohols and glycol fatty acid esters with 10 an ethoxylation range of 2-100 mols, suitable fatty alcohol groups including lauryl, cetyl, cetearyl, oleyl, Examples of glycol fatty acid esters include tridecyl. ceteareth-10, laureth-4, and the like. Other nonionic emulsifiers include fatty acid esters of sorbitan and 15 polyoxyethylene sorbitan, polyoxyethylene fatty acid esters, quaternary amine salts of fatty acids, phospholipid complexes/emulsifiers, polyol fatty acid esters, and is polymeric surfactants. Examples include Hypermer's policies and the second \$20 sterol oil and the diethanolamine salt of cetyl phosphate and the The nonionic emulsifier may be a combination of two or more emulsifiers.

Preferred nonionic emulsifiers C12-C22 ethoxylated fatty alcohols, particularly glycol fatty acid 25 esters such as stearyl ethers characterized by the CTFA designation as Steareth X, where X is from 2 to 100 mols ethoxylation. Examples include Steareth-2, Steareth-10, Steareth 21, Steareth-100. A combination of two or more of the Steareth compounds is particularly preferred, to provide 30 a hydrophobic lipophilic balance (HLB) which maintains the oil-in-water emulsion. A suitable HLB number for the system is from about 10-20, more preferably 10-15, most preferably, about 12.5. Of course, other components of the composition, such as cyclomethicone, also contribute to the overall 35 desired HLB.

For example, a combination of Steareth X_1 and Steareth X_2 may be used, where X_1 is from 2 to 10 mols

ethoxylation, and X_2 is from 11 to 100 moles ethoxylation.

Anionic Emulsifier

The anionic emulsifier is preferably present in 5 the composition at a concentration of 0.1-2.0% by weight, more preferably, at a concentration of 0.1-0.75% by weight.

Suitable anionic emulsifiers are of the general formula RCO(OCHCH3CO), O'X', where R is a long chain aliphatic group, such as caproyl, lauroyl, stearoyl, n is an 10 integer, principally 1 or 2, and X is a cation (such as Na*, Ca^{+} , K^{+} , NH_{Δ}^{+} , or alkanolamine, e.g., triethanolamine). alkyl group of the fatty acid preferably has from 6-22 carbons, such caproyl, as isostearoyl, hydroxystearoyl, behenoyl, stearoyl, and the like. 15 Exemplary anionic emulsifiers of this type include cationic salts of esters of lactyl lactylates, such as potassium, sodium, triethanolamine, and calcium salts of lauroyl lactylate, cocoyl lactylate, stearoyl lactylate, and caproyl THE PERSON STATES OF THE PARTY OF THE PARTY. lactylate.

Preferred emulsifiers are low foaming. A particularly preferred anionic emulsifier is a salt of lauroyl lactylate, such as sodium lauroyl lactylate.

The Water Phase

17 はい一般に

1 NS 20

25 Antimicrobial Agent

The composition includes a safe and effective amount of at least one active antimicrobial ingredient. The term "safe and effective amount," as used herein, means an amount which is safe for use on human skin, and which is sufficient to bring about a desired level of microbial decontamination. This level may be complete sterilization, or some lesser level of microbial decontamination, such as disinfection or sanitization. The exact amount will depend on the agent selected, the desired level of antimicrobial activity, the amount of the composition to be applied, the exposure time, viscosity, and other factors.

The composition preferably includes a cationic

-8-

antimicrobial as the active ingredient. Suitable cationic antimicrobials include salts of chlorhexidine, such as chlorhexidene digluconate, chlorhexidene acetate, chlorhexidene isethionate, chlorhexidene hydrochloride. 5 Other cationic antimicrobials may also be used, such as benzalkonium chloride, benzethonium chloride, polyhexamethylene biguanide, cetyl puridium chloride, methyl and benzothonium chloride.

Salts of chlorhexidine, in particular, 10 chlorhexidene digluconate, are particularly preferred A combination of cationic antimicrobials antimicrobials. may be used. Cationic antimicrobials in the past have not been used in combination with anionic surfactants as they are generally considered to be incompatible.

The cationic antimicrobial agent is present in a 15 sufficient amount to microbially decontaminate the skin of the user. For salts of chlorhexidine, such as chlorhexidine gluconate, a preferred concentration is from 0.25 to 5% bye or the concentration is from 0.25 to 5% bye or weight, more preferably, from about 0.5 to about 4% by 电影 化 夢 看在 玩一 20 weight of the composition.

(國) (1百法

31"

Other antimicrobials may also be used, alone or in combination with a cationic antimicrobial previously described. These include halogenated phenolic compounds, such as 2,4,4'-trichloro-2-hydroxy diphenyl ether 25 (Triclosan); parachlorometa xylenol (PCMX); and short chain alcohols, such as ethanol, propanol, and the like. example, a combination of chlorhexidine gluconate and ethanol may be used. However, the alcohol is preferably at a low concentration (below about 10% by weight of the 30 composition and, more preferably, below 5% by weight) so that it does not cause undue drying of the skin.

Humectants (Cosolvents)

humectant is preferably present 35 composition at a concentration of from 2-15% by weight, more preferably, from 2-10% by weight. The humectant is a water soluble component, i.e., it is primarily present in the

-9-

aqueous phase. The humectant used herein provide stability to the water phase, however it may also provide other functions, such as promotion of water retention by the skin or hair, emolliency, and other moisturizing or conditioning 5 functions.

Suitable humectants are polyhydric alcohols, such as C3-C4 diols and triols, and polyethylene glycols. act as cosolvents and help to stabilize the water phase. Examples include propylene glycol, dipropyleneglycol, 10 hexylene glycol, 1,4-dihydroxyhexane, 1,2,6-hexanetriol, sorbitol, butylene glycol, propanediols, such as methyl propane diol, dipropylene glycol, triethylene glycol, glycerin (glycerol), polyethylene glycols, ethoxydiglycol, polyethylene sorbitol, and combinations thereof. 15 humectants include glycolic acid, glycolate salts, lactate salts, lactic acid, sodium pyrrolidone carboxylic acid, hyaluronic acid, chitin, and the like.

Particularly preferred as humectants are propylene glycol and glycerin. These shave been found to have a 10 moisture 20 positive effect on both the moisturizing function and the antimicrobial activity of the composition.

> Other cosolvents include alcohols, ethanol, n-propanol, and isopropanol; triglycerides; ethyl acetate; acetone; triacetin; and combinations of these.

25

· 一个模型的

- ----

Skin Conditioner/Emollient

composition may also include skin conditioner/emollient at a concentration of from 0.02-5% by weight, more preferably, from about 0.05 to about 2% by 30 weight. Exact levels of emollient will depend upon the material chosen with consideration being given to the effects upon the composition.

Emollients in skin and personal care compositions are materials which are used to replace or add lipids and 35 natural oils in the skin or hair. The emollient materials help to provide a skin conditioning benefit, moisturizing the skin by depositing on the skin or hair during the

-10-

application process.

Suitable skin conditioners include quaternary ammonium salts of acrylamide and dimethyl diallyl ammonium chloride (DIMDAC) polymers, such as Polyquaternium-6, 5 Polyquaternium-7 and Polyquaternium-10. Also useful are silicones, nonvolatile such as polydialkylsiloxanes, polydiarylsiloxanes, and polydialkarylsiloxanes. Polyalkyl siloxanes have the general formula R3SiO[R2SiO][SiR3, where ${\ensuremath{\mathbb{R}}}^2$ and ${\ensuremath{\mathbb{R}}}^3$ independently are an alkyl group, such as methyl or 10 ethyl, and x is an integer up to about 500, chosen to achieve the desired molecular weight. Commercially available polyalkylsiloxanes include polydimethylsiloxanes, also known as dimethicones. Useful polyalkylaryl siloxanes include polymethylphenyl siloxanes.

Also useful are dimethiconols, which are hydroxyterminated dialkyl silicones, such as dimethyl silicones.
These materials may be represented by the general formulae

R⁴SiO[R⁵₂SiO]_xSiR⁶OH and HOR⁷SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁵ SiO]_xSiR⁶OH and HOR⁷SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁸SiO]_xSiR⁶OH and HOR⁷SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁸SiO]_xSiR⁸OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁸SiO]_xSiR⁸OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁸SiO]_xSiR⁸OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH, wherein R⁴SiO[R⁸SiO]_xSiR⁸OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH and HOR⁸SiO[R⁸SiO]_xSiR⁹OH and HOR⁸SiO[R⁸SiO]_xSiR⁸OH and HOR⁸SiO[R

5 (

achieve the desired molecular weight.

Other useful skin conditioners are silicone polyethers; alkyl methyl silicones; C_8-C_{30} alkyl esters of C_8- C30 carboxylic acids; C1-C6 diol monoesters and diesters of 25 C_8-C_{30} carboxylic acids; cholesterol esters of C_8-C_{30} carboxylic acids; monoglycerides, diglycerides, triglycerides of C₈-C₃₀ carboxylic acids; polyethylene glycol derivatives of vegetable glyceride; hydrocarbon oils or waxes, and silicone gum/resin blends. Examples of these 30 materials include diisopropyl adipate, isopropyl myristate, isopropyl palmitate, palm kernel glyceride, glyceride, capric glyceride, glyceryl cocoate, $C_{12}-C_{15}$ alkyl benzoates; PPG-15 stearyl ether benzoates; dipropylene glycol benzoate; cetyl esters; chitosan; cetyl lactate; PEGglyceride; PEG-45 palm kernel pentaerythrityl tetraisostearate; hydrogenated polybutenes; polyisobutene; aloe vera (which also serves as a humectant);

-11-

vitamin E; mucopolysaccharides; (hydrogenated) 1-decene homopolymers; steroid alcohols; and combinations thereof.

Other useful skin conditioners include sorbitan laurate, lanolin, lanolin esters, alkoxylated and/or 5 polyoxylated C_3-C_6 diols and triols, ethoxylated and propoxylated sugars, such as mannitol, and the like.

Among the skin conditioners preferred Polyquaternium salts, dimethicone, dimethiconol, cetyl esters, glyceryl esters of fatty acids, particularly palm 10 kernel glyceride, caprylic glyceride, and capric glyceride, glyceryl cocoate, C12-C15 alkyl benzoates, dipropylene glycol benzoate, PPG 15 stearyl ether benzoate, chitosan, and cetyl lactate. Polyquaternium salts, such as Polyquaternium-7, are particularly preferred skin conditioners. They may be 15 purchased from Calgon Chemical as 8% or 40% solutions.

Thickener

村 こうことの 独立す

电压压 化硫酸盐

The water phase is preferably thickened with a thickening agent to provide the composition with a suitable viscosity to keep the composition in contact with the skin for an extended period. The thickening agent is one which is compatible with cationic actives, such as chlorhexidine gluconate.

> Suitable thickeners (which in some cases may also 25 contribute some emulsification properties) include alcohols, such as cetyl, stearyl, cetostearyl, caprylic, myristyl, decyl, lauryl, and oleyl alcohol; emulsifying waxes, such as Emulsifying Wax NF (a cetostearyl alcohol plus polyoxyethylene derivative of a fatty acid ester of 30 sorbitan; fatty acid esters, such as monoesters of a fatty acid and glycerine; and mono or di esters of fatty acids and glycol. Examples of fatty acid esters include glyceryl stearate, glyceryl oleate, glyceryl palmitate. Examples of mono and di esters of fatty acids and glycol include glycol 35 stearate, glycol dilaurate, glycol hydroxystearate, and glycol distearate.

> > Polymeric thickeners may also be used, such as

-12-

hydroxymethyl cellulose, hydroxyethyl cellulose, cetyl cellulose, hydroxymethyl guar gum, and the like. Polyethylene glycols may also be used, preferably those having a weight average molecular weight (Mu, range of from 5 about 400 to about 4000.

Cetyl alcohol is particularly preferred thickener since it also acts as an emulsifier and an emollient.

The concentration of the thickener depends on the selected thickener and the desired viscosity. A preferred 10 viscosity is at least about 1000 cps. In the case of cetyl alcohol, the concentration is preferably from 0.5-10%, more preferably, from about 0.5 to about 6% by weight of the composition.

15 Water

The balance of the aqueous phase is water. composition includes from about 35% to about 90% water, more ... * *** preferably, from about 60% to about 85% water. The exact to all the second of th and level of water depends on the desired levels of the various and the desired levels of th \$ \$20 components and any other additives employed. · 公司 前 · 老小 數學 · 想是 · 。 (20)

91 W.

The Oil Phase

Carrier

The oil phase comprises one or more oils or oil 25 phase component (all generally referred to herein as "carrier oils"), which acts as a carrier for the oil phase.

The carrier oil is present in the composition preferably at a concentration of 2-20% by weight, more preferably, from about 5 to 12% by weight.

30 Suitable carrier oils include volatile silicones, as cyclomethicone, dimethicone; siloxanes, such as tetra, penta, or hexa cyclosiloxane, hexamethyl disiloxane, and octyltrisiloxane.

Preferred are volatile silicones, 35 cyclomethicone. These silicones act as an emollient, in addition to a carrier, and provide lubricity to the composition. Cyclomethicone is a particularly preferred

-13-

carrier. It is a non-greasy volatile silicone, which dissipates when rubbed in to the skin.

The Preferred Compositions

5

A preferred composition includes:

10	Component	<pre>% by weight of active ingredient</pre>
10	Nonionic Emulsifier	0.25-8.0
	Anionic Emulsifier	0.1-2.0
	Thickener	0.5-10.0
	Humectant	0-15.0
15	Skin Conditioner	0.02-5.0
	Carrier	2.0-20.0
	Antimicrobial Agent	0.25-5

A particular preferred composition includes:

11 11年20 南洋東京	1. 1 · 学校 · 1 · · · · · · · · · · · · · · · · ·	the state of the s
De Marie Marie	Component % by	weight of the factor of the state of the sta
FR W W. RE	eacti	ve ingredient
	Nonionic Emulsifier	*
25	(Two or more Steareth compounds)	1.0-5.0
	Anionic Emulsifier	
	(lactylate)	0.1-0.75
	Thickener	
	(fatty alcohol)	0.5-6.0
30	Humectant	
	(polyhydric alcohol)	2.0-10.0
	Skin Conditioner	
	(Polyquaternium)	0.02-2.0
	Carrier	
35	(cyclomethicone)	5.0-12.0
	Antimicrobial Agent	
	(chlorhexidine digluconate)	0.5-4.0

-14-

The chlorhexidine gluconate: nonionic emulsifier ratio is from about 1:5 to about 1:1, the optimal amount depending on the amount of the internal (oil) phase.

5 Other additives

一 力 化磷酸铁

1. 11. 164

The composition of the present invention can also comprise a wide range of other additional components. CTFA Cosmetic Ingredient Handbook, Second Edition, 1992, describes a wide variety of nonlimiting cosmetic and 10 pharmaceutical ingredients commonly used in the skin care industry, which are suitable for use in the compositions of the present invention. Examples of functional classes of additional components include: absorbents, abrasives, antianticaking agents, agents, antifoaming agents, 15 antioxidants, binders, biological additives, buffering agents, bulking agents, chelating agents, chemical additives, colorants, cosmetic astringents, cosmetic biocides, denaturants, dedrug astringents, external analgesics, film formers, fragrance components, humectants, agents, pH adjusters, 20 opacifying plasticizers, propellants, preservatives, reducing agents, protectants, solvents, suspending agents (nonsurfactant), ultraviolet light absorbers, and viscosity increasing agents (aqueous and nonaqueous). Examples of other functional 25 classes of materials useful herein that are well known to one of ordinary skill in the art include emulsifiers, solubilizing agents, and sequestrants, and the like.

Nonlimiting examples of these additional components cited in the CTFA Cosmetic Ingredient Handbook,

30 as well as other materials useful herein, include the following: vitamins and derivatives thereof [e.g., vitamin C, tocopherol, tocopherol acetate, and the like]; antioxidants; polyethyleneglycols and polypropyleneglycols; preservatives for maintaining the antimicrobial integrity of the composition; antioxidants; chelators and sequestrants; and aesthetic components such as fragrances, pigments, colorings, essential oils, and the like.

-15-

The composition can be formulated in a number of In one method, a two vessel process is used. water insoluble components are mixed together in one vessel, while the water soluble components are mixed in another. 5 Heat is optionally applied to melt any solid components, a temperature of about 65°C being suitable in most instances. The contents of the two vessels are then (preferably at the same temperature) and thoroughly mixed to provide an emulsion. Preferably, the antimicrobial agent is 10 added at a temperature at which it is not subject to inactivation. For example, chlorhexidine salts are preferably added to the emulsion after cooling to below 50°C.

In another method, a single vessel is used. 15 water and other components, with the exception of the cyclomethicone (or other carrier oil) and antimicrobial agent, are heated in the vessel to a temperature slightly a above, e.g., about 5°C above, the highest melting temperature of the components present (typically a way of the 20 approximately 65°C) and mixed thoroughly. The mixture is who we then cooled to below about 50°C and the cyclomethicone added and mixed with the other components. After mixing, the antimicrobial agent is added.

機能 人工 美婦

1.00

Other methods of combining the ingredients into an 25 oil in water emulsion are also contemplated.

The composition may be dispensed from a bottle, tube, spray, wipe, or other suitable dispenser. Preferably, it is applied directly to the skin and rubbed in for few seconds to a minute. It may be applied neat or diluted, 30 ether by hand or with a cloth or other applicator. amount applied can vary although it is preferably applied in a pharmaceutically acceptable amount, i.e., one which is sufficient to achieve a desired level of antimicrobial activity and moisturizing effect without harmful results to The skin is decontaminated within about one minute. Leaving the composition on the skin allows continued moisturizing and antimicrobial effect for several

-16-

hours or more. For example, the composition may be applied to a patients' skin up to about 12 hours before a surgical procedure is to take place.

The invention is further illustrated by the 5 following examples, without intending to limit the scope of the invention.

EXAMPLES

EXAMPLE 1

10 Formulation for Antimicrobial Lotion

An antimicrobial lotion which is fast acting yet moisturizing to the skin was prepared according to the formulation in TABLE 1. The concentration of the 20% chlorhexidine digluconate (CHG) solution and water was 15 adjusted slightly, based on activity level of the CHG solution.

· 一种的 切迹 化超磷酸 (1) 化物质 (1) 有一个流流

TABLE 1

(2) かり またりをある。

交付 **機**大

THE I		
Ingredient	8	Punction
	A TO ST A ME	April 1860 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Deionized Water	71.065	External phase
Steareth-2	0.595	Nonionic Emulsifier
Steareth-100	0.540	Nonionic Emulsifier
Steareth-10	0.250	Nonionic Emulsifier
Sodium lauroyl lactylate	0.200	Anionic Emulsifier/emollient
Dimethicone - 1000 centistokes, NF	0.250	Skin conditioner
Glycerine	7.000	Humectant
Emulsifying Wax, NF (cetostearyl alcohol)	4.000	Emulsifier/Emollient/Thickener
Cetyl alcohol	0.500	Emulsifier/Emollient/Thickener
Polyquaternium-7	0.500	Skin Conditioner
Cyclomethicone	10.000	Emollient/Carrier/Lubricity
Chlorhexidine digluconate (20%)	5.0 (1.0% active)	Active ingredient- Antimicrobial
Fragrance	0.100	Fragrance

A single vessel was used to prepare the composition. The water and other components, with the exception of the cyclomethicone and chlorhexidine digluconate, 40 were heated in the vessel to a temperature of about 65°C (i.e., above the highest melting temperature of the

-17-

components present) and mixed thoroughly. The mixture was then cooled to below about 50°C and the cyclomethicone added and mixed with the other components. After mixing, the chlorhexidine digluconate was added.

5

EXAMPLE 2

Formulation for Antimicrobial Lotion

An antimicrobial lotion which is fast acting yet moisturizing to the skin was prepared according to the 10 formulation in TABLE 2 using the method of EXAMPLE 1. The lotion had a higher level of chlorhexidine gluconate (1.5% CHG) than the lotion of Example 1 (1% CHG). The concentration of the 20% CHG solution and water was adjusted slightly, based on activity level of the CHG solution.

15

T 17

TABLE 2

Ingredient	8	Function
Deionized Water	73.925	LOWER HOLDING
Steareth-21	0.935	Nonionic Emulsifier
Steareth-10	0.440	Nonionic Emulsifier & Sna
Sodium lauroyl lactylate	0.200	Anionic Emulsifier/emollient
Propylene glycol	3.500	Humectant
Cetyl alcohol	3.000	Emulsifier/Emollient/ Thickener
Polyquaternium-7	0.500	Skin Conditioner
Cyclomethicone		Emollient/Carrier/ Lubricity
Chlorhexidine digluconate (20%)	7.50 (1.50%	

25

EXAMPLE 3

30 Formulation for Antimicrobial Lotion

An antimicrobial lotion was prepared according to the formulation in TABLE 3 using the method of EXAMPLE 1. The lotion had a higher level of chlorhexidine gluconate (1.5%) than the lotion of EXAMPLE 1. The concentration of the 20% CHG solution and water was adjusted slightly, based on activity level of the CHG solution.

TABLE 3

-18-

	Ingredient	8	Function
	Deionized Water	68.565	External phase
	Steareth-2	0.595	Nonionic Emulsifier
	Steareth-100	0.540	Nonionic emulsifier
5	Steareth-10	0.250	Nonionic Emulsifier
	Sodium lauroyl lactylate	0.200	Anionic Emulsifier/emollient
	Dimethicone - 1000 centistokes, NF	0.250	Skin conditioner
	Glycerine	7.000	Humectant
10	Emulsifying Wax, NF (cetostearyl alcohol)	4.000	Emulsifier/Emollient/ Thickener
	Cetyl alcohol		Emulsifier/Emollient/ Thickener
	Polyquaternium-7	0.500	Skin Conditioner
	Cyclomethicone		Emollient/Carrier/ Lubricity
15	Fragrance	0.100	Fragrance
	Chlorhexidine digluconate (20%)	7.5% (1.5% active	Active

EXAMPLE 4

20 Results for Healthcare Personnel Handwash Clinical Study (Serratia marcescens ATCC 14756)

整个的"ALL接触是下来,这是一

The products of Examples 1-3 were tested according to ASTM method E 11747. The results were compared with those for a commercial chlorhexidine digluconate skin wash formulation, Hibiclens™. The test involved applying 5ml of a bacterial suspension of Serratia marcescens to the skin and then applying the selected lotion or wash product. In the case of the lotions of Examples 1-3, the lotion was applied to the skin and rubbed for 90 seconds, prior to conducting the first wash (a 15 second rinse under water). In normal use, the lotions would typically be left on the skin for longer times prior to washing. In the case of the Hibiclens, the label instructions for application were followed. These call for a 15 second application period, in which the product was rubbed into the skin, followed by a 15

-19-

second rinse under water. TABLE 4 compares the results after several washes, with n being the number of subjects tested. The results are expressed as log reductions from the baseline value (initial value), a log reduction of 1 indicating a 90% reduction in viable microorganisms and a log reduction of 6 indicating only 1 viable microorganism out of every million microorganisms remains.

TABLE 4

1	n	
-	v	

Product	n	Wash 1	Wash 3	Wash 7	Wash 10
Example 1	6	2.66	3.46	3.78	4.18
Example 2	6	3.80	5.10	5.61	5.54
Example 3	6	4.00	4.91	5.17	5.60
Hibiclens (4% CHG)	6	2.90	3.43	3.88	3.99

15

The results show that for the products of EXAMPLES

1 to 3, efficacy increases with percentage concentration of
CHG (higher log reductions). However, all of the products

20 performed as well as, or better than the 4% wash product
(Hibiclens), even when the amount of CHG was only 1%. This
shows that effective lotions which retain their efficacy
after repeated washes can be prepared without the need for
high concentrations of antimicrobial.

-20-

Having thus described the preferred embodiment, the invention is now claimed to be:

1. An oil-in-water emulsion for antimicrobial skin treatment characterized by:

a nonionic emulsifier;

an anionic emulsifier;

a cationic antimicrobial agent;

a carrier oil; and water.

5

2. The oil in water emulsion of claim 1, further characterized by:

the nonionic emulsifier being present at a concentration of about 1.0-5.0% by weight.

- 3. The oil in water emulsion of either one of preceding claims 1 and 2, further characterized by:

 the nonionic emulsifier being selected from the group
 - consisting of polyoxyethylene alcohols and glycol fatty acid

 5 esters with an ethoxylation range of 2-100 mols, fatty acid
 esters of sorbitan and polyoxyethylene sorbitan,
 polyoxyethylene fatty acid esters, quaternary amine salts of
 fatty acids, phospholipid complexes, polyol fatty acid
 esters, polymeric surfactants, and combinations thereof.
 - 4. The oil in water emulsion of claim 3, further characterized by:

the nonionic emulsifier including a $C_{12}-C_{22}$ ethoxylated fatty alcohol.

5. The oil in water emulsion of any one of preceding claims 1-4, further characterized by:

the anionic emulsifier being present at a concentration of about by 0.1-2.0% weight.

6. The oil in water emulsion of any one of preceding

-21-

claims 1-5, further characterized by:

the anionic emulsifier including a compound of the general formula $RCO(OCHCH_3CO)_n O^-X^+$, where:

R is a long chain aliphatic group,

n is an integer, and

X' is a cation.

5

a le viil ş

S. J. Mary C.

7. The oil in water emulsion of claim 6, further characterized by:

the anionic emulsifier including a cationic salt of an ester of lactyl lactylate.

8. The oil in water emulsion of claim 7, further characterized by:

the lactyl lactylate including sodium lauroyl lactylate.

9. The oil in water emulsion of any one of preceding claims 1-8, further characterized by:

the anionic and nonionic emulsifiers being at a concentration which maintains the cationic antimicrobial agent primarily in the water phase.

10. The oil in water emulsion of any one of preceding claims 1-9, further characterized by:

the cationic antimicrobial agent being present at a concentration of about 0.25-5% by weight.

11. The oil in water emulsion of any one of preceding claims 1-10, further characterized by:

the cationic antimicrobial agent being selected from the group consisting of salts of chlorhexidine, benzalkonium 5 chloride, benzethonium chloride, polyhexamethylene biguanide, cetyl puridium chloride, methyl chloride, benzothonium chloride, and combinations thereof.

12. The oil in water emulsion of claim 11, further

characterized by:

the cationic antimicrobial including a salt of chlorhexidine, selected from the group consisting of 5 chlorhexidene digluconate, chlorhexidene acetate, chlorhexidene isethionate, chlorhexidene hydrochloride, and combinations thereof.

13. The oil in water emulsion of any one of preceding claims 1-12, further characterized by:

the carrier oil being present at a concentration of about 2-20% by weight.

14. The oil in water emulsion of any one of preceding claims 1-13, further characterized by:

the carrier oil being selected from the group consisting of volatile silicones and siloxanes.

Characterized by:

the carrier oil including cyclomethicone.

16. The oil in water emulsion of any one of preceding claims 1-15, further characterized by:

the antimicrobial agent including chlorhexidine gluconate and the ratio of chlorhexidine gluconate:nonionic 5 emulsifier being from about 1:5 to about 1:1.

- 17. The oil in water emulsion of any one of preceding claims 1-16, further characterized by:
 - a thickening agent.
- 18. The oil in water emulsion of claim 17, further characterized by:

the thickening agent being selected from the group consisting of alcohols, emulsifying waxes, fatty acid esters, polymeric thickeners, polyethylene glycols, and combinations thereof.

19. The oil in water emulsion of claim 18, further characterized by:

the thickening agent including cetyl alcohol.

- 20. The oil in water emulsion of any one of preceding claims 1-19, further characterized by:
 - a humectant.

र विशिष्ट १

3 T ((4)

21. The oil in water emulsion of claim 20, further characterized by:

the humectant being present in the composition at a concentration of from 2-15% by weight.

The oil in water emulsion of either one of preceding claims 20-21, further characterized by:

the humectant being selected from the group consisting polyhydric alcohols, polyethylene glycols, 5 alcohols, triglycerides, ethylacetate, acetone, triacetin, and combinations thereof. 44.0

- The oil in water emulsion of any one of preceding claims 1-22, further characterized by:
 - a skin conditioner.
- The oil in water emulsion of claim 23, further characterized by:

the skin conditioner being present in the composition at a concentration of from 0.02-5% by weight.

The oil in water emulsion of either one of preceding claims 23 and 24, further characterized by:

the skin conditioner being selected from the group consisting of quaternary ammonium salts, nonvolatile 5 silicones, hydroxy-terminated dimethyl silicones, silicone polyethers, alkyl methyl silicones, C_8 - C_{30} alkyl esters of C_8 -C₃₀ carboxylic acids, C₁-C₆ diol monoesters and diesters of

C₈-C₃₀ carboxylic acids, cholesterol esters of C-C carboxylic acids, monoglycerides, diglycerides, and 10 triglycerides of C₈-C₃₀ carboxylic acids, polyethylene glycol derivatives of vegetable glycerides, hydrocarbon oils or waxes, silicone gum/resin blends, and combinations thereof.

26. The oil in water emulsion of claim 25, further characterized by:

the skin conditioner being selected from the group consisting of polyquaternium salts, dimethicone, dimethiconol, cetyl esters, glyceryl esters of fatty acids, palm kernel glyceride, caprylic glyceride, and capric glyceride, glyceryl cocoate, C₁₂-C₁₅ alkyl benzoates, dipropylene glycol benzoate, PPG 15 stearyl ether benzoate, chitosan, cetyl lactate and combinations thereof.

27. The oil in water emulsion of any one of preceding and claims 1-26, further characterized by:

清洁。

s hi

4.3

- - the anionic emulsifier being at a concentration of 0.1-2.0% by weight of the emulsion;

the oil being at a concentration of 2.0-20.0% by weight of the emulsion;

the cationic antimicrobial agent being at a 10 concentration of 0.25-5% by weight of the emulsion;

0.5-10.0% by weight of a thickener of the emulsion; 0-15.0% by weight of a humectant; and 0.02-5.0% by weight of a skin conditioner.

28. The antimicrobial lotion of claim 27, further characterized by:

the nonionic emulsifier including at least one steareth compound;

the anionic emulsifier including a lactylate; the thickener including a fatty alcohol; the humectant including a polyhydric alcohol;

-25-

the skin conditioner including a polyquaternium compound;

the oil including cyclomethicone; and the antimicrobial agent including chlorhexidine digluconate.

29. The antimicrobial lotion of claim 28, further characterized by:

the steareth compound being present at a concentration of 1.0-5.0 weight percent;

the lactylate being present at a concentration of 0.1-0.75 weight percent;

the fatty alcohol being present at a concentration of 0.5-6.0 weight percent;

the polyhydric alcohol being present at a concentration 10 of 2.0-10.0 weight percent;

计算规定设置。

THE RESERVE

3 5 39

the polyquaternium compound being present at a concentration of 0.02-2.0 weight percent;

the cyclomethicone being present at a concentration of 5.0-12.0 weight percent; and

į

the chlorhexidine digluconate being present at a concentration of 0.5-4.0 weight percent.

30. The antimicrobial lotion of any one of preceding claims 1-29, further characterized by:

the cationic antimicrobial agent including a chlorhexidine salt,

droplets of the oil being discontinuously dispersed in the water as an emulsion;

the nonionic and anionic emulsifiers being present in a concentration (i) sufficient coat the oil droplets to prevent the chlorhexidene from attaching to the oil droplets 10 and to maintain the oil droplets in suspension and (ii) insufficient to micellize the chlorhexidene.

31. A method of antimicrobially decontaminating and moisturizing the skin characterized by:

-26-

applying to the skin a pharmaceutically effective amount of an oil-in-water emulsion of any one of preceding 5 claims 1-30.